



***MMP-9* genetic polymorphism may confer susceptibility to COPD**

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ABSTRACT. Correlations between genetic polymorphisms of three matrix metalloproteinase (MMP) genes and susceptibility to chronic obstructive pulmonary disease (COPD) were investigated. Relevant case-control studies were selected using rigorous inclusion and exclusion criteria. The comprehensive Meta-analysis 2.0 software was used to conduct the statistical analysis. An odds ratio with 95% confidence intervals was applied to assess the correlation between genetic polymorphisms of MMPs and susceptibility to COPD. Twelve high-quality studies were selected for inclusion in this meta-analysis. These studies included a combined total of 1533 COPD patients and 1530 healthy controls. The result of the meta-analysis showed that *MMP-9* rs3918242 C > T was significantly correlated with increased susceptibility to COPD. However, *MMP-1* rs1799750 1G > 2G and *MMP-3* rs3025058 5A > 6A were not associated with COPD risk (all P > 0.05). Based on our meta-analysis, *MMP-9* rs3918242 C > T is correlated with susceptibility to COPD, but *MMP-1* rs1799750 1G > 2G and *MMP-3* rs3025058 5A > 6A are not. These results should be further confirmed using a larger sample size.

Key words: *MMP-1*; *MMP-3*; *MMP-9*; COPD; rs3918242; rs1799750

INTRODUCTION

Chronic obstructive pulmonary disease (COPD) is well known as a heterogeneous disease with pulmonary and extrapulmonary symptoms. COPD involves an intricate array of cellular, functional, and organ-related events that result in airflow limitation by virtue of a prolonged time constant of lung emptying (Garcia-Aymerich et al., 2011; Maclay et al., 2012). COPD is a chronic condition that affects approximately three million worldwide and current disease estimates show that COPD will be the third leading cause of death by 2020 (Singh et al., 2011; Vestbo et al., 2013). Curiously, the latest data show a steep increase in mortality rates of females compared to more modest increases in males within the same population (Alfageme et al., 2010). Several risk factors are directly linked to COPD pathogenesis and prominently include host and environmental factors (Brusselle et al., 2011). Environmental factors such as cigarette smoke, indoor and outdoor air pollution, and chemical exposure are high risk factors for COPD. Host factors mainly involve alpha-1 antitrypsin, excessive extracellular matrix (ECM) deposition, corticosteroids, inflammatory stimuli, and metabolic imbalance (Foreman et al., 2012; Gan et al., 2013). Matrix metalloproteinases (MMPs) and tissue inhibitors of metalloproteinases (TIMPs) have received significant attention in COPD research because MMPs and TIMPs play important opposing roles in regulating ECM synthesis and degradation (Wu et al., 2012; Abboud et al., 2013). Imbalances in ECM synthesis and degradation have severe consequences for tissue integrity and function. These imbalances can provoke sustained inflammatory responses; therefore, MMP and TIMP pathways are valuable therapeutic targets for COPD (Larsson-Callerfelt et al., 2013).

MMPs are a subfamily of zinc- and calcium-dependent enzymes with a potent capacity to degrade several ECM proteins. They are critical for normal biological functions such as embryonic development and tissue turnover (Hadler-Olsen et al., 2011; Leonetti et al., 2012). MMPs can regulate a wide variety of biological functions including the activity of inflammatory cytokines, tissue repair, epithelial barrier functions, chemokine activity, and morphogen gradients, and resolution of infection (Gómez-Piña et al., 2012). To date, 30 members of the MMP family have been identified. These MMPs have been grouped into major subclasses based on their substrate specificities, such as collagenases (MMP-1, -8, -13), stromelysins (MMP-3, -10, -11), gelatinases (MMP-2, -9), matrilysins (MMP-7, -26), and membrane-type MMPs (MMP-14, MMP-15, MMP-17). Three matrix metalloproteinases, MMP-1, MMP-3 and MMP-9, are among the most well-known proteins of the MMP family and are frequently associated with several disease states (Hemmann et al., 2007; Lia et al., 2009). *MMP-1* and *MMP-3* are located on chromosome 11q22.3. MMP-9 is synthesized as proenzyme consisting of 707-amino acid residues and is the most complex member of the MMP family (Folseraas and Karlsen, 2011; Liu et al., 2012). Several studies have described the important roles MMPs play in various pathological and physiological processes, such as tissue repair, COPD, angiogenesis, and chronic inflammation (Dormán et al., 2010; Wu et al., 2012). However, the correlation between genetic polymorphisms of MMPs and susceptibility to COPD remains controversial (Schirmer et al., 2009; Cheng et al., 2009). In light of the conflicting data, we conducted a meta-analysis to investigate the correlation between genetic polymorphisms of MMPs and susceptibility to COPD.

MATERIAL AND METHODS

Data sources and key words

The electronic databases, E.B. Stephens COmpany database (EBSCO), Ovid, Springerlink, Wiley, Web of Science, Wanfang databases, China National Knowledge Infrastructure (CNKI) databases, and Weipu databases (last updated search in November 2014) were systematically searched to retrieve articles that studied the correlation between MMPs and COPD. The search strategy used a combination of free words and key words. The elaborate search strategy was as follows: “matrix metalloproteinases” or “matrix metalloproteinase inhibitors” or “tissue inhibitor of metalloproteinases” or “MMPs” or “matrix metalloproteinases” or “matrix metalloproteinase inhibitors” or “MMP inhibitors” or “tissue inhibitor of metalloproteinases” or “TIMPs” and “pulmonary disease, chronic obstructive” or “COPD” or “chronic obstructive pulmonary disease” or “chronic obstructive lung disease” or “chronic obstructive airway disease” or “chronic airflow obstructions” or “COAD” and “polymorphism, genetic” or “polymorphism” or “SNP” or “mutation” or “variant” or “variation”. Moreover, additional relevant studies were retrieved by a manual search of cross-references.

Inclusion and exclusion criteria

All retrieved studies met the following selection criteria: 1) research type: case-control studies; 2) research topic: the correlation between polymorphisms of *MMPs* and susceptibility to COPD; 3) research objects: all cases should be verified as COPD patients by histopathology and the controls are healthy; 4) end outcomes: all enrolled studies provide site information of *MMP-9* rs3918242 C > T, *MMP-1* rs1799750 1G > 2G, and *MMP-3* rs3025058 5A > 6A. Articles with only a summary and abstract, duplicate publications, and studies with incomplete data were excluded.

Data extraction and quality assessment

Two investigators independently extracted all useful information under the preconcerted data collection list. The extracted information included the surname of first author, time of publication, country, ethnicity, language, diseases, the number of case and controls, age, detection methods, and single nucleotide polymorphisms (SNPs). A third investigator resolved any disagreements during the data extraction process through reexamination of all items and discussion. The quality of all included studies were independently assessed by two investigators based on critical appraisal skill program (CASP) criteria (<http://www.casp-uk.net/>) developed by Oxford Centre for Evidence Based Medicine. The study was evaluated by a third investigator or group if there was dissent among two or more investigators. The details of CASP are as follows: whether the study addressed a clearly focused issue (CASP01); whether an appropriate method was used to answer their question (CASP02); whether the cases were recruited in an acceptable way (CASP03); whether the controls were selected in an acceptable way (CASP04); whether the exposure was accurately measured to minimize bias (CASP05); what confounding factors the authors accounted for or how the authors accounted for potential

confounding factors in the design and/or in their analysis (CASP06); the results of the study (CASP07); precision of the results (CASP08); believability of the results (CASP09); the application of the results to the local population (CASP10); the degree to which the results of the study fit with other available evidence (CASP11).

Statistical analysis

The comprehensive Meta-analysis 2.0 software (Biostatic Inc., Englewood, NJ, USA) was employed in our meta-analysis. The correlation between polymorphisms of MMPs and susceptibility to COPD was evaluated using the odds ratio (OR) and its 95% confidence intervals (95%CI) under a fixed-effect model or a random-effect model. A Z-test was conducted to examine the significance of pooled standard mean differences. Forest plots displayed the comparison of the OR and its 95%CI among all enrolled studies. The Cochran Q-statistic ($P < 0.05$ was considered significant) and I^2 test (0%, no heterogeneity; 100%, maximal heterogeneity) was conducted to evaluate the heterogeneity. A random-effect model was applied when heterogeneity was significant ($P < 0.05$ or I^2 test exhibited $>50\%$) among the retrieved studies. A fixed-effect model was employed otherwise. The potential source of heterogeneity was analyzed by univariate and multivariate meta-regression analysis and Monte Carlo simulations were utilized for further confirmation. Sensitivity analysis was conducted by individually deleting each included study to assess whether the overall results were influenced by single study. Funnel plots, classic fail-safe N, and Egger linear regression tests were employed to ascertain potential publication bias to further confirm the result. All tests were two-sided and P values <0.05 were considered statistically significant.

RESULTS

Baseline characteristics of included studies

Following stringent selection criteria, the 79 studies, initially retrieved through electronic database searches and manual searches, were screened to eliminate seven duplicates, six letters and reviews, two non-human studies, and 11 irrelevant studies. After further rigorous screening, 41 studies were determined to be unrelated to our topic of interest. Finally, 12 case-control studies, published between 2004 and 2012, were selected for the present meta-analysis. These studies contained 1533 COPD patients and 1530 healthy controls (Zhou et al., 2004; Ito et al., 2005; Sun et al., 2005; Zhang et al., 2005; Han et al., 2006; Cheng et al., 2009; Santus et al., 2009; Schirmer et al., 2009; Cai et al., 2010; Hua et al., 2010; Lee et al., 2010; Sun et al., 2012). Sample sizes in the studies included in our meta-analysis ranged between 154 and 634. Two studies were performed on Caucasian populations and 10 studies examined Asian populations. The SNP analyses of all enrolled studies were performed by polymerase chain reaction with the restriction fragment length polymorphism (PCR-RFLP) and direct sequencing. The genotype distributions of the included studies were in conformity with Hardy Weinberg equilibrium (all $P > 0.05$), except for rs1799750 1G $>$ 2G in Lee et al. (2010) ($P < 0.05$). Baseline characteristics of all enrolled studies are listed in Table 1.

Table 1. Baseline characteristics of all eligible studies.

First author	Year	Country	Ethnicity	Number		Gender (M/F)		Age (years)		Genotype methods	Gene
				Case	Control	Case	Control	Case	Control		
Sun CJ	2012	China	Asian	80	74	50/30	42/32	67.40 ± 7.21	60.18 ± 5.44	PCR-RFLP	MMP-3
Lee SY	2010	Korea	Asian	80	90	72/8	82/8	66.3 ± 7.1	65.4 ± 8.2	PCR-RFLP	MMP-1
Lee SY	2010	Korea	Asian	80	90	72/8	82/8	66.3 ± 7.1	65.4 ± 8.2	PCR-RFLP	MMP-9
Cai JL	2010	China	Asian	180	96	142/38	70/26	61.59 ± 8.38 (41-82)	59.78 ± 9.52 (37-83)	PCR-RFLP	MMP-1
Hua DM	2010	China	Asian	301	333	-	-	65.7 ± 8.0	60.4 ± 8.2	PCR-RFLP	MMP-9
Schirmer H	2009	Brazil	Caucasian	111	101	72/39	75/26	64.8 ± 10.1	46.4 ± 8.8	Direct sequence	MMP-3
Schirmer H	2009	Brazil	Caucasian	111	101	72/39	75/26	64.8 ± 10.1	46.4 ± 8.8	Direct sequence	MMP-9
Cheng SL	2009	China	Asian	184	212	152/32	182/30	71.9 ± 8.0	69.2 ± 8.0	PCR-RFLP	MMP-1
Cheng SL	2009	China	Asian	184	212	152/32	182/30	71.9 ± 8.0	69.2 ± 8.0	PCR-RFLP	MMP-3
Cheng SL	2009	China	Asian	184	212	152/32	182/30	71.9 ± 8.0	69.2 ± 8.0	PCR-RFLP	MMP-9
Santus P	2009	Italy	Caucasian	147	133	121/26	107/26	69.3 ± 8.3	67.5 ± 8.8	Direct sequence	MMP-3
Han WJ	2006	China	Asian	60	52	44/16	36/16	59.5 ± 8.8	59.7 ± 9.5	PCR-RFLP	MMP-9
Zhang RB	2005	China	Asian	147	120	135/12	110/10	67.75 ± 6.93	65.02 ± 7.69	PCR-RFLP	MMP-1
Zhang RB	2005	China	Asian	147	120	135/12	110/10	67.75 ± 6.93	65.02 ± 7.69	PCR-RFLP	MMP-9
Sun PZ	2005	China	Asian	59	109	43/16	73/36	66.1 (39-86)	59.4 (34-85)	PCR-RFLP	MMP-1
Ito I	2005	Japan	Asian	84	85	81/3	69/16	68.9 ± 7.9	58.8 ± 12.8	PCR-RFLP	MMP-9
Zhou M	2004	China	Asian	100	98	98/2	97/1	62.15 ± 9.87	62.79 ± 11.89	PCR-RFLP	MMP-9

PCR-RFLP = polymerase chain reaction with the restriction fragment length polymorphism; M = male; F = female.

Correlation between *MMP-9* rs3918242 C > T and susceptibility to COPD

The correlation between *MMP-9* rs3918242 C > T and susceptibility to COPD was reported in eight studies. A fixed-effect model was applied because of the absence of heterogeneity among the allele model and the dominant model (all $P > 0.05$). The result of the meta-analysis showed that *MMP-9* rs3918242 C > T was associated with increased susceptibility to COPD (allele model: OR = 1.363, 95%CI = 1.155-1.608, $P < 0.001$; dominant model: OR = 1.472, 95%CI = 1.214-1.785, $P < 0.001$) (Table 2 and Figure 1).

Table 2. Comparisons of genotype and allele frequencies between the case and the control groups on the correlations between *MMP-9* rs3918242 C > T, *MMP-1* rs1799750 1G > 2G, and *MMP-3* rs3025058 5A > 6A and susceptibility to chronic obstructive pulmonary disease.

	rs1799750			rs3025058			rs3918242		
	OR	95%CI	P	OR	95%CI	P	OR	95%CI	P
M allele vs W allele (Allele model)	1.043	0.897-1.213	0.587	0.953	0.774-1.173	0.652	1.472	1.214-1.785	<0.001
WM + MM vs WW (Dominant model)	1.059	0.845-1.327	0.618	1.136	0.830-1.554	0.426	1.363	1.155-1.608	<0.001
MM vs WW (Homozygous model)	1.248	0.883-1.763	0.21	0.849	0.550-1.308	0.458	1.557	0.946-2.563	0.081
MM vs WM (Heterozygous model)	0.998	0.761-1.308	0.987	1.411	0.968-2.058	0.074	0.997	0.597-1.664	0.99
MM vs WW + WM (Recessive model)	1.045	0.810-1.350	0.734	0.744	0.525-1.055	0.097	1.328	0.823-2.145	0.245

OR = odds ratio; 95%CI = 95% confidence intervals.

Correlation between *MMP-1* rs1799750 1G > 2G and susceptibility to COPD

The correlation between *MMP-1* rs1799750 1G > 2G and susceptibility to COPD was reported in five studies. A heterogeneity test showed no heterogeneity under the allele model or the dominant model, therefore a fixed-effect model was employed (all $P > 0.05$). The result of the meta-analysis revealed no detectable correlation between *MMP-1* rs1799750 1G > 2G and susceptibility to COPD (allele model: OR = 1.043, 95%CI = 0.897-1.213, $P = 0.587$; dominant model: OR = 1.059, 95%CI = 0.845-1.327, $P = 0.618$) (Table 2 and Figure 1).

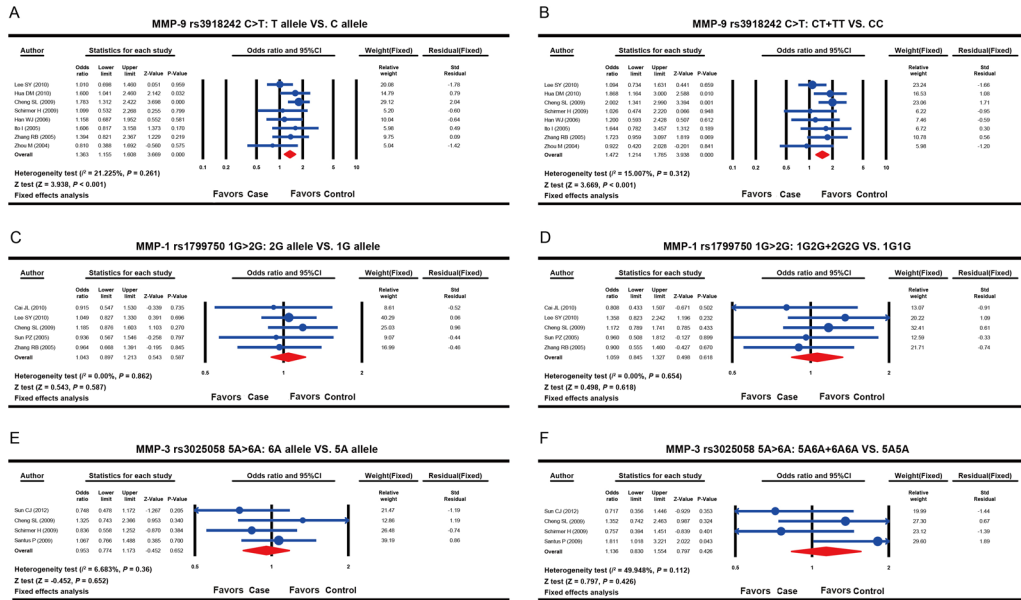


Figure 1. Forest plots of the correlation between genetic polymorphisms of *MMP-9* rs3918242 C > T, *MMP-1* rs1799750 1G > 2G, and *MMP-3* rs3025058 5A > 6A and susceptibility to chronic obstructive pulmonary disease.

Correlation between *MMP-3* rs3025058 5A > 6A and susceptibility of COPD

The correlation between *MMP-3* rs3025058 5A > 6A and susceptibility to COPD was reported in four studies. A fixed-effect model was employed because of the lack of heterogeneity among the allele model and the dominant model (all $P > 0.05$). The result of meta-analysis revealed no statistical correlation between *MMP-3* rs3025058 5A > 6A and susceptibility to COPD (allele model: OR = 0.953, 95%CI = 0.774-1.173, $P = 0.652$; dominant model: OR = 1.136, 95%CI = 0.830-1.554, $P = 0.426$) (Table 2 and Figure 1).

Sensitivity analysis and publication bias

The result of sensitivity analysis showed that all enrolled studies had no effect on pooled ORs of correlations between *MMP-9* rs3918242 C > T, *MMP-1* rs1799750 1G > 2G, or *MMP-3* rs3025058 5A > 6A and susceptibility to COPD (Figure 2). Univariate meta-regression analysis showed that publication year, country, ethnicity, detection methods, SNP, and sample size were not the main source for heterogeneity nor were they key factors influencing the overall effect values ($P > 0.05$). Multivariate meta-regression analysis further confirmed that the published year, country, ethnicity, sample size, SNP, and detection methods were not the sources of heterogeneity (Figure 3 and Table 3).

Funnel plots of *MMP-3* rs3025058 5A > 6A under a dominant model were asymmetric, suggesting the existence of publication bias. Classic fail-safe N and Egger linear regression tests further confirmed this bias (Figure 4). However, funnel plots of *MMP-3* rs3025058 5A >

Table 3. Meta-regression analyses of potential source of heterogeneity on the correlations between *MMP-9* rs3918242 C > T, *MMP-1* rs1799750 1G > 2G, and *MMP-3* rs3025058 5A > 6A and susceptibility to chronic obstructive pulmonary disease.

Heterogeneity factors	Coefficient	SE	t	P	95% CI	
				(Adjusted)	LL	UL
Year	-0.04	0.035	-1.15	0.785	-0.117	0.037
Country	0.028	0.083	0.34	0.998	-0.156	0.212
Ethnicity	-0.302	0.313	-0.97	0.878	-0.999	0.395
Method	-0.042	0.174	-0.24	1	-0.43	0.346
SNP	-0.167	0.109	-1.53	0.551	-0.411	0.076
Sample	<0.001	<0.001	1.17	0.776	<-0.001	0.002

SE = standard error; LL = lower limit; UL = upper limit; SNP = single nucleotide polymorphism.

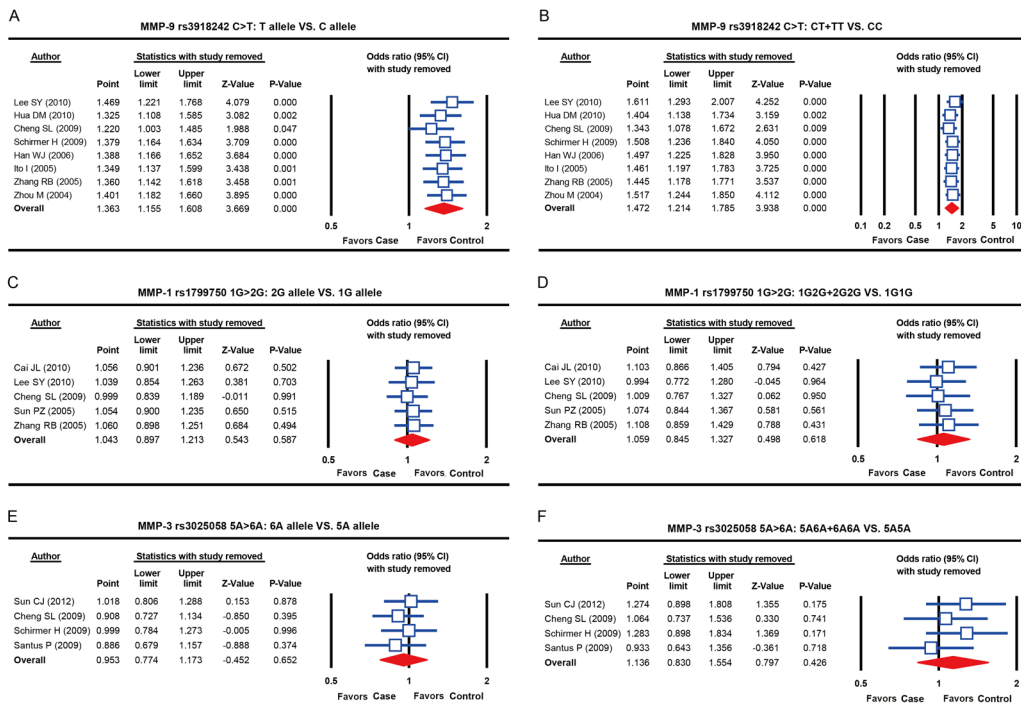


Figure 2. Sensitivity analysis for correlations between genetic polymorphisms of *MMP-9* rs3918242 C > T, *MMP-1* rs1799750 1G > 2G, and *MMP-3* rs3025058 5A > 6A and susceptibility to chronic obstructive pulmonary disease.

6A under the allele model and *MMP-1* rs1799750 1G > 2G and *MMP-3* rs3025058 5A > 6A under allele and dominant models were symmetrical, indicating the absence of publication bias. Classic fail-safe N and Egger linear regression tests further confirmed our results (Figure 4).

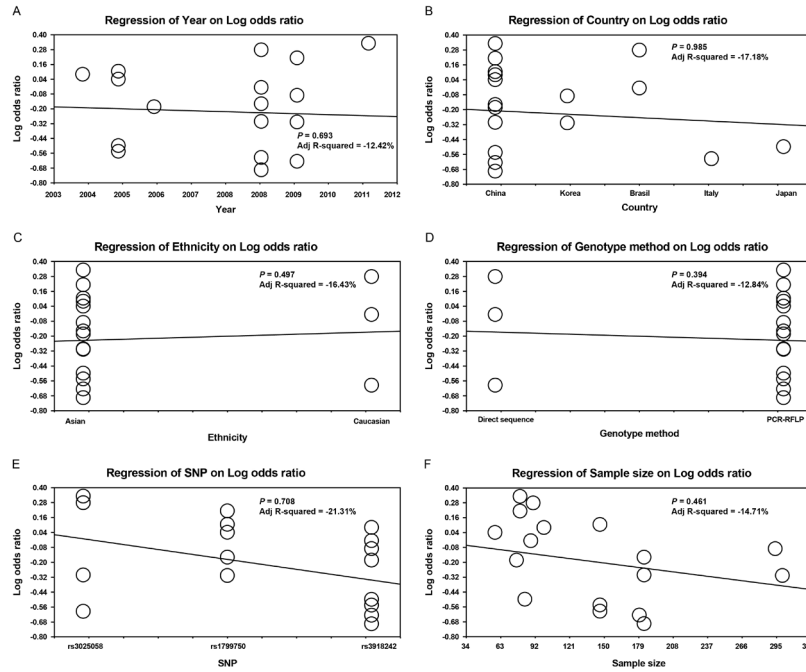


Figure 3. Meta-regression analysis of the correlations between genetic polymorphisms of *MMP-9* rs3918242 C > T, *MMP-1* rs1799750 1G > 2G, and *MMP-3* rs3025058 5A > 6A and susceptibility to chronic obstructive pulmonary disease.

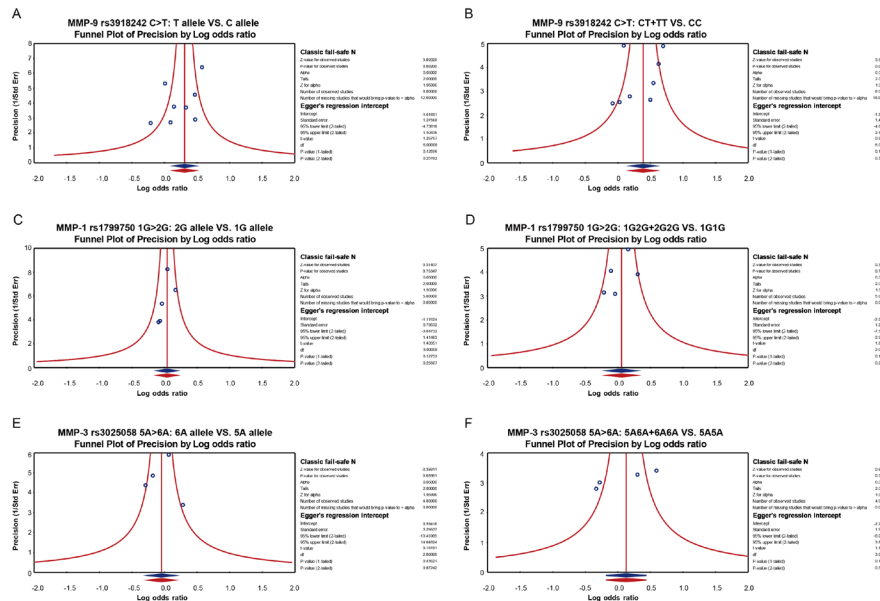


Figure 4. Publication biases of the correlations between genetic polymorphisms of *MMP-9* rs3918242 C > T, *MMP-1* rs1799750 1G > 2G, and *MMP-3* rs3025058 5A > 6A and susceptibility to chronic obstructive pulmonary disease.

DISCUSSION

A rigorous meta-analysis was conducted to correlate genetic polymorphisms of MMPs and susceptibility to COPD. The main result of our meta-analysis revealed that *MMP-9* rs3918242 C > T is correlated with increased susceptibility to COPD, but no evident correlations were found between *MMP-1* rs1799750 1G > 2G and *MMP-3* rs3025058 5A > 6A polymorphisms and susceptibility to COPD. COPD is an incurable lung disease characterized by defective tissue repair, resulting in small airways fibrosis or emphysema (Singh et al., 2011; Brandsma et al., 2015). MMPs are a family of zinc-dependent proteins that mediate a variety of biological functions, including cell migration, cellular invasion, cytokine activation, and tissue damage repair (Clapper et al., 2011). MMP-9 is produced by many inflammatory cell types and epithelial cells, including alveolar macrophages, neutrophils, and macrophages. MMP-9 degrades elastin, bronchial epithelial cells, smooth muscle cells, and alveolar type II cells and plays a vital role in the development of emphysema (Cheng et al., 2009; Atkinson et al., 2011). MMP-9 is the main MMP released by macrophages and mediates tissue repair and remodeling via degradation of basement membrane type IV collagen and other ECM proteins (Brajer et al., 2008). MMP-9 may affect airflow limitation through degradation of alveolar ECM and components of the basement membrane, thus resulting in emphysema (Elkington et al., 2011). As the major rate-limiting enzyme in the MMP family, MMP-9 and its inhibitory factor TIMP-1 play essential roles in remodeling airflows, and MMP-9 may contribute to inflammation and flow choking by increasing inflammatory corpuscles in the airway lumen or airway wall, damaging the epithelial/endothelial structure (Bourboulia and Stetler-Stevenson, 2010; Yao et al., 2013). Genetic polymorphisms of *MMP-9* may affect the expression and activity of MMP-9. *MMP-9* rs3918242 C > T may lead to the loss of binding of a nuclear repressor protein, thus resulting in the increased MMP-9 expression (Jacob-Ferreira et al., 2010). Our meta-analysis results, and other previous studies, show that high expression of *MMP-9* rs3918242 C > T is correlated with increased susceptibility to COPD, and thus polymorphisms that hyper-activate MMP-9 pathways pose a high risk and exacerbate COPD pathogenesis.

There are some limitations that deserve further consideration. First, the sample size in our meta-analysis is relatively small, which influence the analyses. In addition, all high quality studies were either in English or in Chinese, potentially leading to language bias by ignoring unpublished studies and studies in other languages. Furthermore, the different genotyping methods applied in our meta-analysis may have introduced bias. Finally, incomplete data may have affected the final results.

In conclusion, the results of our meta-analysis provide strong evidence that *MMP-9* rs3918242 C > T is correlated with increased susceptibility to COPD, but the association of *MMP-1* rs1799750 1G > 2G and *MMP-3* rs3025058 5A > 6A with COPD risk was not statistically significant. These results may require confirmation from a future analysis with a larger sample size.

Conflicts of interest

The authors declare no conflict of interest.

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